

# PATENT COOPERATION TREATY

PCT

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To:

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NOTIFICATION OF TRANSMITTAL OF  
INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT

(PCT Rule 71.1)

Date of mailing  
(day/month/year)

20. 12. 00

Applicant's or agent's file reference  
MEKTRON 2

IMPORTANT NOTIFICATION

International application No.

PCT/GB 00/00950

International filing date (day/month/year)

20/03/2000

Priority date (day/month/year)

18/03/1999

Applicant

MEKTRON SYSTEMS LIMITED et al.

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.
4. **REMINDER**  
The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices)(Article 39(1))(see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

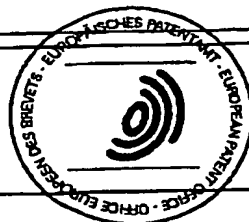
For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/



European Patent Office  
D-80298 Munich  
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Authorized officer



## PATENT COOPERATION TREATY

## PCT

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT


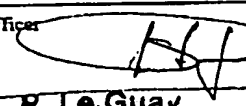
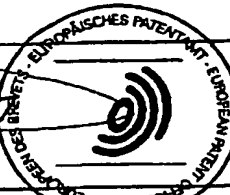
(PCT Article 36 and Rule 70)

Applicant's or agent's file reference <b>MEKTRON 2</b>	<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. <b>PCT/GB 00/ 00950</b>	International filing date (day/month/year) <b>20/03/2000</b>	Priority date (day/month/year) <b>18/03/1999</b>
International Patent Classification (IPC) or national classification and IPC <b>H02B1/30</b>		
Applicant <b>MEKTRON SYSTEMS LIMITED et al.</b>		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.
2. This REPORT consists of a total of 7 sheets, including this cover sheet.
- ☐ This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consists of a total of \_\_\_\_\_ sheets.

3. This report contains indications relating to the following items:
- I ☒ Basis of the report
  - II ☐ Priority
  - III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
  - IV ☒ Lack of unity of invention
  - V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
  - VI ☐ Certain documents cited
  - VII ☒ Certain defects in the international application
  - VIII ☒ Certain observations on the international application

Date of submission of the demand <b>16/10/2000</b>	Date of completion of this report <b>20. 12. 00</b>
Name and mailing address of the IPEA/  European Patent Office D-80298 Munich Tel. (+49-89) 2399-0, Tx: 523656 epmu d Fax: (+49-89) 2399-4465	Authorized officer  <b>P. Le Guay</b> 

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

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I. Basis of the report

1. This report has been drawn up on the basis of *(Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.)*

☒ the international application as originally filed

☐ the description, pages

, as originally filed

pages

, filed with the demand

pages

, filed with the letter of

☐ the claims, Nos.

, as originally filed

Nos.

, as amended under Article 19

Nos.

, filed with the demand

Nos.

, filed with the letter of

☐ the drawings, sheets / fig.

, as originally filed

sheets / fig.

, filed with the demand

sheets / fig.

, filed with the letter of

2. The amendments have resulted in the cancellation of:

☐ the description, pages:

☐ the claims, Nos.

☐ the drawings, sheets / fig.

3. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2 (c)).

4. Additional observations, if necessary:

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**INTERNATIONAL PRELIMINARY EXAMINATION REPORT****IV. Lack of unity of invention**

1. In response to the invitation (Form PCT/IPEA/405) to restrict or pay additional fees the applicant has:

- ☐ restricted the claims.
- ☐ paid additional fees.
- ☐ paid additional fees under protest.
- ☐ neither restricted nor paid additional fees.

2. ☒ This Authority found that the requirement of unity of invention is not complied with and chose, according to Rule 68.1, not to invite the applicant to restrict or pay additional fees.

3. This Authority considers that the requirement of unity of invention in accordance with Rules 13.1, 13.2 and 13.3 is

- ☐ complied with.
- ☒ not complied with for the following reasons:

1. The separate inventions/groups of invention are:

- (a) a conduction-cooled hardware enclosure comprising elements formed by casting and machining and the corresponding method of producing thereof (claims 1 to 9 and 19 to 20);
- (b) a conduction-cooled hardware enclosure comprising elements including a projection portion of a defined length (claims 10 to 16);
- (c) a conductive-cooled hardware enclosure comprising elements including projection portions and fixing means said fixing means comprising dowels (claims 17 and 18);
- (d) a method of producing a conduction-cooled hardware enclosure wherein pieces of different sizes are assembled together to form enclosures of the desired size (claims 21 and 22).

2. They are not so linked as to form a single general inventive concept (Rule 13.1 PCT) for the following reasons:

There is no common inventive subject-matter between the four groups of claims.

4. Consequently, the following parts of the international application were the subject of international preliminary examination in establishing this report:

- ☒ all parts.
- ☐ the parts relating to claims Nos.

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

## V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

## 1. Statement

Novelty	Claims	1-22	YES
	Claims	None	NO
Inventive Step	Claims	1-20	YES
	Claims	21, 22	NO
Industrial Applicability	Claims	1-22	YES
	Claims	None	NO

## 2. Citations and Explanations

1. Concerning claims 1 to 9:

- (a) claim 1 relates to a conduction-cooled hardware enclosure comprising elements having projecting portion, said elements being assembled through fixing means;
- (b) nearest prior art is document US-A-4 691 970 which discloses such an enclosure and upon which claim 1 has been delimited;
- (c) the claimed enclosure discloses elements which are formed by casting and machining;
- (d) such a feature appears to be new with respect to the known prior art. It also enables to produce an enclosure which is stronger than the usual one. Therefore claim 1 satisfies the requirements of Article 33(2) and (3) PCT;
- (e) claims 2 to 9 are dependent claims which disclose particular embodiments of the invention - they are to be considered as novel and inventive as well.

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## VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted:

1. According to the requirements of Rule 11.13(m) PCT the same feature shall be denoted by the same reference sign throughout the application. This requirement is not met in view of the use of 1 which corresponds to a chassis (page 4, line 9) in the description and to an enclosure in the set of claims (for instance claim 1, line 1).

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**INTERNATIONAL PRELIMINARY EXAMINATION REPORT****VIII. Certain observations on the international application**

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

1. Claim 1 relates to a device. However, its single characterising feature appears to be a manufacturing step. Therefore claim 1 should be reformulated as a method claim.
2. Claim 10 is characterised by the length of the projecting portion, this length being defined with reference to its thickness and to the spacing between the first and the second sides. However neither of these values have been defined in the preamble of claim 10. Therefore claim 10 lacks clarity in terms of Article 6 PCT.
3. In claim 19, lines 1 and 2, "an enclosure for conduction-cooled hardware" should read:  
  
"a conduction-cooled hardware enclosure"
  - for consistency reasons with the other claim of the set of claims
  - to prevent that any document disclosing a box as claimed and which could be suitable for containing any hardware be novelty destroying for claim 19.